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# Box complexes and Kronecker double coverings (New topics of transformation groups)

AUTHOR(S):

松下, 尚弘

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## Box complexes and Kronecker double coverings

Takahiro Matsushita

Graduate School of Mathematical Science, The University of Tokyo

### 1 Introduction

A coloring of a simple graph  $G$  is to assign a color to each vertex of  $G$  so that adjacent vertices have different colors. The chromatic number of  $G$ , denoted by  $\chi(G)$ , is the smallest number of colors we need to color  $G$ . To compute the chromatic numbers of graphs is called the *graph coloring problem*, which has been researched for a long time in graph theory.

The first application of homotopy theory to this problem is Lovász's proof of Kneser's conjecture (see Section 2). He assigned a simplicial complex, called a neighborhood complex, to a graph and showed that the connectivity of the complex gives a lower bound for the chromatic number.

After that, several graph coloring complexes have been introduced by different authors. Box complex is one of them. It is a  $\mathbb{Z}_2$ -poset  $B(G)$  assigned to a graph. Here we regard a poset as a topological space by its classifying space.

The purpose of this paper is a brief explanation of author's paper [14] and its background. Roughly speaking, the main result of [14] is to mention that the Kronecker double covering over  $G$  completely determines the (non-equivariant) poset structure of the box complex. The Kronecker double covering over  $G$  is the graph  $K_2 \times G$ . Here  $K_2$  is the graph consisting of two vertices and one edge connecting them, and the notation " $\times$ " means the categorical product. See Section 4 for details.

Section 2 and Section 3 are devoted to the background of neighborhood complexes and box complexes. For a more concrete introduction to this subject, we refer to [12] or [10].

In Section 5, we mention the statement of the result. As an application of it, we can construct graphs whose chromatic numbers are different but whose box complexes are (non-equivariantly) isomorphic.

We closed this section by giving precise definitions relating to the graph coloring problem. A graph is a pair  $G = (V(G), E(G))$  consisting of a set  $V(G)$  with a symmetric subset  $E(G)$  of  $V(G) \times V(G)$ . Namely, a pair  $(v, w)$  of vertices of  $G$  is contained in

$E(G)$  if and only if its transpose  $(w, v)$  is contained in  $E(G)$ . Therefore our graphs are non-directed, may have loops, but have no multiple edges. A graph homomorphism is a map  $f : V(G) \rightarrow V(H)$  with  $(f \times f)(E(G)) \subset E(H)$ . The complete graph  $K_n$  is defined by  $V(K_n) = \{0, 1, \dots, n-1\}$  and  $E(K_n) = \{(x, y) \mid x \neq y\}$ . Then the chromatic number  $\chi(G)$  is formulated as the number

$$\chi(G) = \inf\{n \geq 0 \mid \text{There is a graph homomorphism from } G \text{ to } K_n.\}.$$

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## 2 Neighborhood complex

In this section, we shall review the definition and known results concerning with neighborhood complexes.

Let  $G$  be a graph and  $v$  a vertex of  $G$ . The neighbor  $N(v)$  of  $G$  is the set of vertices of  $G$  adjacent to  $v$ . Note that if  $v$  is not looped then  $N(v)$  does not contain  $v$ . The neighborhood complex  $N(G)$ , introduced in Lovász [11], is the abstract simplicial complex defined as follows:

- The underlying set of  $N(G)$  is  $V(G)$ .
- A finite subset  $\sigma \subset V(G)$  is a simplex if there is a vertex  $v \in V(G)$  with  $\sigma \subset N(v)$ .

For example, the neighborhood complex of the complete graph  $K_n$  is the boundary of  $(n-1)$ -simplex, and hence is homeomorphic to  $S^{n-2}$ . Let  $C_n$  denote the  $n$ -cycle graph for  $n \geq 3$ . If  $n$  is odd then  $N(C_n)$  is the 1-sphere.  $N(C_4)$  is homotopy equivalent to  $S^0$ , and  $N(C_{2n})$  with  $n \geq 2$  is homotopy equivalent to  $S^1 \sqcup S^1$ .

For a space  $X$ , we write  $\text{conn}(X)$  to indicate the largest integer  $n \geq -1$  such that  $X$  is  $n$ -connected. Here  $(-1)$ -connectivity means non-emptiness. If  $X$  is contractible then we regard  $\text{conn}(X) = +\infty$ , and if  $X$  is the empty space then  $\text{conn}(X) = -\infty$ .

**Theorem 2.1** (Lovász, 1978). *If a graph  $G$  is  $n$ -connected then  $\chi(G)$  is greater than  $n+2$ . Namely, we have  $\chi(G) > \text{conn}(N(G)) + 2$ .*

This is a consequence of Borsuk-Ulam’s theorem. The outline of the proof used box complex will be given in Section 3. Lovász used Theorem 2.1 to prove Kneser’s conjecture.

Kneser’s conjecture [9] asserts that if the set of  $k$ -subsets of the  $n$ -point set  $X = \{0, 1, \dots, n-1\}$  is divided into  $(n-2k+1)$ -classes, then there are two disjoint subsets of  $X$  contained in the same class. This conjecture was translated into the following

graph coloring problem: For a pair of positive integers  $n, k$  with  $n \geq 2k$ , Kneser's graph  $KG_{n,k}$  is the graph defined by

$$V(KG_{n,k}) = \{\sigma \subset \{0, 1, \dots, n-1\} \mid \#\sigma = k\},$$

$$E(KG_{n,k}) = \{(\sigma, \tau) \mid \sigma \cap \tau = \emptyset\}.$$

Then Kneser's conjecture is equivalent to  $\chi(KG_{n,k}) = n - 2k + 2$ . (Precisely, the assertion of Kneser's conjecture is equivalent to  $\chi(KG_{n,k}) \geq n - 2k + 2$ . However, it is easy to show  $\chi(KG_{n,k}) \leq n - 2k + 2$ . In fact one can construct an  $(n - 2k + 2)$ -coloring of  $KG_{n,k}$  by induction on  $n$ . First, since  $KG_{2k,k}$  is a disjoint union of  $K_2$ 's, we have that  $\chi(KG_{2k,k}) = 2$ . Suppose that there is a coloring  $f : KG_{n,k} \rightarrow K_{n-2k+2}$ . Then we have a coloring  $g : KG_{n+1,k} \rightarrow K_{n-2k+3}$  defined by

$$g(\sigma) = \begin{cases} f(\sigma) & (n+1 \notin \sigma) \\ n-2k+3 & (n+1 \in \sigma). \end{cases}$$

This completes the proof of  $\chi(KG_{n,k}) \leq n - 2k + 2$ .)

Lovász showed the following theorem.

**Theorem 2.2** (Lovász [11], 1978). *The neighborhood complex of  $KG_{n,k}$  is  $(n - 2k - 1)$ -connected.*

Combining Theorem 2.1 and Theorem 2.2, Lovász deduced Kneser's conjecture  $\chi(KG_{n,k}) = n - 2k + 2$ .

As a related topic, we mention stable Kneser's graphs. A subset  $S$  of  $\mathbb{Z}/n$  is *stable* if  $x \in S$  implies  $x + 1 \notin S$ . Stable Kneser's graph  $SG_{n,k}$  is defined as follows: The vertices of  $SG_{n,k}$  are stable  $k$ -subsets of  $\mathbb{Z}/n$ , and two stable  $k$ -subsets are adjacent if they are disjoint. Clearly stable Kneser's graph  $SG_{n,k}$  is a subgraph of Kneser's graph  $KG_{n,k}$  and hence  $\chi(SG_{n,k}) \leq \chi(KG_{n,k})$ . Soon after Lovász [11], the following theorem stronger than Kneser's conjecture was proven by Schrijver [15]. Here a graph  $G$  is called *vertex critical* if every subgraph  $H$  of  $G$  with  $V(H) \subsetneq V(G)$  satisfies  $\chi(H) < \chi(G)$ .

**Theorem 2.3** (Schrijver [15], 1978). *Stable Kneser's graph  $SG_{n,k}$  is vertex critical and  $\chi(SG_{n,k}) = n - 2k + 2$ .*

The homotopy types of neighborhood complexes of stable Kneser's graphs were determined:

**Theorem 2.4** (Björner-Longueville [2] 2003). *The neighborhood complex of  $SG_{n,k}$  is homotopy equivalent to the  $(n - 2k)$ -sphere.*

### 3 Box complex

A partially ordered set is called a poset, for short. The order complex  $\Delta(P)$  of  $P$  is the simplicial complex whose simplices are finite chains in  $P$ . It is known that the classifying space of  $P$  is naturally homeomorphic to the geometric realization of  $\Delta(P)$ . In this article, the classifying space of a poset  $P$  is denoted by  $|P|$ . For a simplicial complex  $K$ , we write  $FK$  to mean the face poset of  $K$ . Then the triangulation determined by  $|FK|$  is the barycentric subdivision of  $|K|$  and hence they are homeomorphic.

The box complex of a graph is a  $\mathbb{Z}_2$ -space assigned to a graph. There are similar constructions as follows. For more detailed research, refer to [5], [13], or [18].

- (1) Let  $G$  be a finite graph. Consider the antitone map  $\nu : FN(G) \rightarrow FN(G)$  defined by  $\nu(\sigma) = \{v \in V(G) \mid \sigma \subset N(v)\}$ . (In the definition of  $\nu$ , we use the assumption that  $G$  is finite.) The Lovász complex  $L(G)$  is the induced subset of  $FN(G)$  consisting of simplices  $\sigma \in N(G)$  with  $\nu^2(\sigma) = \sigma$ . Then the restriction of  $\nu$  to  $L(G)$  determines the involution of  $L(G)$ . This complex was introduced by Lovász [11] in the proof of Kneser's conjecture, and detailed research on it is found in Walker [17].

For a graph homomorphism  $f : G \rightarrow H$ , we have a  $\mathbb{Z}_2$ -map  $L(f) : L(G) \rightarrow L(H)$  defined by  $L(f)(\sigma) = \nu^2(f(\sigma))$  (note that  $\nu^3 = \nu$ ). For a composable graph homomorphisms  $g$  and  $f$ , one can see  $L(g) \circ L(f) \simeq_{\mathbb{Z}_2} L(g \circ f)$ . However,  $L(g) \circ L(f) \neq L(g \circ f)$  in general.

- (2) Let  $G$  be a graph. The complex  $B(G)$  is the subcomplex of  $N(G) * N(G)$  whose simplices are  $\sigma * \tau$  for simplices  $\sigma, \tau \in N(G)$  with  $\sigma \times \tau \subset E(G)$ . The involution of  $B(G)$  is obviously defined. A graph homomorphism  $f : G \rightarrow H$  gives rise to a  $\mathbb{Z}_2$ -map  $B(f) : B(G) \rightarrow B(H)$  and it satisfies  $B(g \circ f) = B(g) \circ B(f)$ .
- (3) Let  $G$  be a graph. The complex  $\text{Bip}(G)$  is the poset

$$\{(\sigma, \tau) \mid \sigma \text{ and } \tau \text{ are non-empty subsets of } G \text{ with } \sigma \times \tau \subset E(G)\}$$

ordered by  $(\sigma, \tau) \leq (\sigma', \tau') \Leftrightarrow \sigma \subset \sigma' \text{ and } \tau \subset \tau'$ . The involution of  $\text{Bip}(G)$  is defined by the correspondence  $(\sigma, \tau) \leftrightarrow (\tau, \sigma)$ , and a graph homomorphism  $f : G \rightarrow H$  induces a  $\mathbb{Z}_2$ -map  $\text{Bip}(f) : \text{Bip}(G) \rightarrow \text{Bip}(H)$ ,  $(\sigma, \tau) \mapsto (f(\sigma), f(\tau))$ . This complex is isomorphic to the Hom complex  $\text{Hom}(K_2, G)$ , which was investigated in Babson-Kozlov [1].

In the reference, authors usually called the complex  $B(G)$  the box complex.<sup>1</sup>

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<sup>1</sup>In Section 5 we call  $\text{Bip}(G)$  the box complex of  $G$  since there is no appropriate term indicating the complex  $\text{Bip}(G)$ .

**Theorem 3.1** (Csorba et al [5], Živaljević [18]). *The above constructions of  $\mathbb{Z}_2$ -spaces are naturally  $\mathbb{Z}_2$ -homotopy equivalent. Moreover, they are naturally homotopy equivalent to neighborhood complexes*

A fundamental result of the box complex is the following theorem. Here we consider the  $k$ -sphere  $S^k$  as a  $\mathbb{Z}_2$ -space by its antipodal map. For its proof, we refer to [1], [12], or [10] for example.

**Theorem 3.2.** *The box complex of the complete graph  $K_n$  is  $\mathbb{Z}_2$ -homotopy equivalent to the  $(n-1)$ -sphere  $S^{n-2}$  for  $n \geq 1$ .*

For a  $\mathbb{Z}_2$ -space  $X$ , set

$$\text{ind}(X) = \inf\{k \geq -1 \mid \text{There is a } \mathbb{Z}_2\text{-map from } X \text{ to } S^k\}.$$

Note that if there is a graph homomorphism from  $G$  to  $K_n$  then there is a  $\mathbb{Z}_2$ -map from  $B(G)$  to  $B(K_n) = S^{n-2}$ . Therefore we have the following.

**Corollary 3.3.**  $\chi(G) \geq \text{ind}(B(G)) + 2$ .

To deduce Theorem 2.1, it suffices to show  $\text{conn}(X) + 1 \leq \text{ind}(X)$ . Note that if the  $\mathbb{Z}_2$ -space  $X$  is  $k$ -connected then there is a  $\mathbb{Z}_2$ -map from  $S^{k+1}$  to  $X$ . Suppose  $\text{ind}(X) = m$  and let  $X \rightarrow S^m$  be a  $\mathbb{Z}_2$ -map. Then there is a  $\mathbb{Z}_2$ -map from  $S^{k+1} \rightarrow S^m$ . Then Borsuk-Ulam's theorem implies  $k+1 \leq m$ . Therefore we have  $\text{conn}(X) + 1 \leq \text{ind}(X)$ .

The difference between the inequality of Corollary 3.3 can be arbitrarily bad. In fact Walker [17] showed that for a positive integer  $n$ , there is a finite graph  $G$  whose box complex is  $\mathbb{Z}_2$ -homotopy equivalent to a 1-dimensional  $\mathbb{Z}_2$ -CW-complex (and hence  $\text{ind}(B(G)) \leq 1$ ) but  $\chi(G) \geq n$ .

By the definition of  $SG_{n,k}$  (see Section 2), the dihedral group  $D_{2n}$  with order  $2n$  acts on  $SG_{n,k}$  in an obvious way, and Braun [3] showed that the automorphism group of  $SG_{n,k}$  coincides with  $D_{2n}$ . Theorem 2.4 and Theorem 3.1 imply that  $B(SG_{n,k})$  is homotopy equivalent to  $S^{n-2k}$ . Therefore  $B(SG_{n,k})$  is a  $\mathbb{Z}_2 \times D_{2n}$ -space, and its topology was investigated by Schultz [16].

## 4 Kronecker double covering

Let  $G, H$  be graphs. The (Kronecker) product  $G \times H$  is the graph defined by

$$V(G \times H) = V(G) \times V(H),$$

$$E(G \times H) = \{((x, y), (x', y')) \mid (x, x') \in E(G), (y, y') \in E(H)\}.$$

Then one can show that  $G \times H$  is the categorical product in the category of graphs.

A graph homomorphism  $p : G \rightarrow H$  is a covering if  $p|_{N(v)} : N(v) \rightarrow N(p(v))$  is bijective. The Kronecker double covering is the second projection  $K_2 \times G \rightarrow G$ ,  $(a, v) \mapsto v$ .

Here we give a brief review of the theory of Kronecker double coverings. Perhaps, the following formulation using 2-colored graphs might be first appeared in [14], but was essentially obtained by other authors, see [8] for example.

A 2-colored graph is a pair  $(X, \varepsilon)$  consisting of a graph  $X$  with a 2-coloring  $\varepsilon : X \rightarrow K_2$ . A graph homomorphism  $f : X \rightarrow Y$  between 2-colored graphs are 2-colored if  $\varepsilon_Y \circ f = \varepsilon_X$ . We write  $\mathcal{G}_{/K_2}$  to indicate the category of 2-colored graphs whose morphisms are 2-colored homomorphisms.

An odd involution of a 2-colored graph  $(X, \varepsilon)$  is a graph homomorphism  $\tau : X \rightarrow X$  such that  $\tau^2 = \text{id}$  and  $\varepsilon(\tau(x)) \neq \varepsilon(x)$  for all  $x \in V(X)$ . Define the category  $\mathcal{G}_{/K_2}^{\text{odd}}$  as follows:

- An object of  $\mathcal{G}_{/K_2}^{\text{odd}}$  is a triple  $(X, \varepsilon, \tau)$  such that  $\tau$  is an odd involution of a 2-colored graph  $(X, \varepsilon)$ .
- A morphism from  $(X, \varepsilon_X, \tau_X)$  to  $(Y, \varepsilon_Y, \tau_Y)$  is a 2-colored homomorphism  $f : X \rightarrow Y$  with  $\tau_Y \circ f = f \circ \tau_X$ .

Let  $\mathcal{G}$  denote the category of graphs. Let  $G$  be a graph. The Kronecker double covering  $K_2 \times G$  over  $G$  is regarded as an object of  $\mathcal{G}_{/K_2}^{\text{odd}}$  as follows: The 2-coloring of  $K_2 \times G$  is the first projection  $K_2 \times G \rightarrow K_2$ ,  $(a, v) \mapsto a$ . The odd involution of  $K_2 \times G$  is  $(0, v) \leftrightarrow (1, v)$ . Thus Kronecker double covering gives a functor from the category  $\mathcal{G}$  of graphs to  $\mathcal{G}_{/K_2}^{\text{odd}}$ .

**Lemma 4.1.** *The functor*

$$K_2 \times - : \mathcal{G} \rightarrow \mathcal{G}_{/K_2}^{\text{odd}}$$

*is an equivalence of categories.*

Note that an involution  $\tau$  of a graph  $G$  is identified with a  $\mathbb{Z}_2$ -action on  $G$ . We write  $G/\tau$  to indicate the orbit space with respect to this action. The quasi-inverse of  $K_2 \times - : \mathcal{G} \rightarrow \mathcal{G}_{/K_2}^{\text{odd}}$  is the quotient  $(X, \tau, \varepsilon) \mapsto X/\tau$ .

## 5 Results

In this section we assume that the term “box complex” means the  $\mathbb{Z}_2$ -poset  $\text{Bip}(G)$  introduced in (3) in the beginning of Section 3, and we write  $B(G)$  instead of  $\text{Bip}(G)$ . A principal result of [14] is the following. Let  $X$  be a 2-colored graph.

**Theorem 5.1** (M. [14]). *Let  $G, H$  be graphs having no isolated vertices. Then the following hold.*

- (1) *The box complexes  $B(G)$  and  $B(H)$  are isomorphic as posets if and only if their Kronecker double coverings  $K_2 \times G$  and  $K_2 \times H$  are isomorphic as graphs.*
- (2) *The box complexes  $B(G)$  and  $B(H)$  are isomorphic as  $\mathbb{Z}_2$ -posets if and only if they are isomorphic.*

Here we give the “if” part of Theorem 4.2.(1). Define the *box complex for 2-colored graphs* to be the induced subposet

$$B_{/K_2}(X) = \{(\sigma, \sigma') \in B(G) \mid \sigma \subset \varepsilon_X^{-1}(0) \text{ and } \sigma' \subset \varepsilon_X^{-1}(1)\}$$

of the usual box complex  $B(X)$ . The box complex  $B_{/K_2}$  for 2-colored graphs gives a functor from the category  $\mathcal{G}_{/K_2}$  of 2-colored graphs to the category  $\mathcal{P}$  of posets, and it is easy to show that  $B(G) \cong B_{/K_2}(K_2 \times G)$  as posets. Therefore if  $K_2 \times G \cong K_2 \times H$  then

$$B(G) \cong B(K_2 \times G) \cong B(K_2 \times H) \cong B(H)$$

and hence we have the “if” part of Theorem 4.2.(1).

The “only if” part is due to the following lemma whose proof is a little complicated.

**Lemma 5.2.** *Suppose that  $X$  and  $Y$  are 2-colored graphs having no isolated vertices. Let  $f : B_{/K_2}(X) \rightarrow B_{/K_2}(Y)$  be an isomorphism of posets. Then there is a unique 2-colored isomorphism  $\hat{f} : X \rightarrow Y$  which induces  $f$ .*

Then Theorem 4.2.(2) is obtained as follows. Let  $G$  and  $H$  be graphs. It is clear that  $G \cong H$  implies  $B(G) \cong B(H)$  as  $\mathbb{Z}_2$ -posets. On the other hand, suppose that  $f : B(G) \rightarrow B(H)$  is an isomorphism of  $\mathbb{Z}_2$ -posets. Since  $B_{/K_2}(K_2 \times G) \cong B_{/K_2}(K_2 \times H)$ , we have an isomorphism  $\hat{f} : K_2 \times G \rightarrow K_2 \times H$  of 2-colored graphs which induces  $f$ . Then  $\hat{f}$  commutes with their odd involutions, so we have that  $K_2 \times G \cong K_2 \times H$  as objects in  $\mathcal{G}_{/K_2}^{odd}$ . Therefore Theorem 4.2.(2) follows.

As an application of Theorem 5.1, we can construct graphs  $G, H$  with  $B(G) \cong B(H)$  as posets but  $\chi(G) \neq \chi(H)$ .

**Example 5.3.** Consider the two graphs  $G$  and  $H$  depicted in Figure 1. Then their Kronecker double coverings  $K_2 \times G$  and  $K_2 \times H$  are isomorphic to the bipartite graph  $X$  depicted in Figure 2.



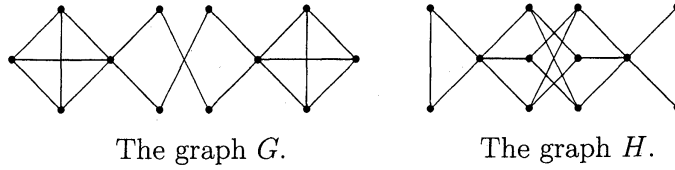


Figure 1.

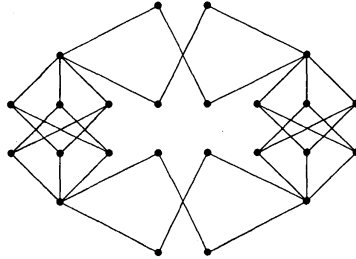
The graph  $X$ .

Figure 2.

To see this, consider two involutions  $\tau_h$  and  $\tau_v$  of  $X$  defined as follows.  $\tau_h$  is the reflection in the central horizontal line of Figure 2, and  $\tau_v$  is the reflection in the central vertical line of Figure 2. Then they are odd involutions of  $X$  and the quotient is  $X/\tau_h = G$  and  $X/\tau_v = H$ . Therefore we have  $K_2 \times G \cong X \cong K_2 \times H$ .

It is easy to see that  $\chi(G) = 4$  and  $\chi(H) = 3$ . Therefore we have  $B(G) \cong B(H)$  as posets but  $\chi(G) \neq \chi(H)$ . Note that  $X$  consists of two  $K_2 \times K_3$  and two  $K_2 \times K_4$ . Generalizing this construction, we have that for a pair  $(n, m)$  of integers greater than 1, there are graphs  $G, H$  such that  $K_2 \times G \cong K_2 \times H$  (and hence  $B(G) \cong B(H)$  as posets) but  $\chi(G) = n$  and  $\chi(H) = m$ .

Therefore to determine the chromatic number from  $B(G)$ , we need to consider the equivariant topology of  $B(G)$ . On the other hand, Theorem 4.1.(2) implies that if  $B(G) \cong B(H)$  as  $\mathbb{Z}_2$ -posets then  $G \cong H$  and hence  $\chi(G) = \chi(H)$ . Thus we consider the following problem:

**Question 5.4.** *Is there a  $\mathbb{Z}_2$ -topological invariant of a box complex equivalent to the chromatic number  $\chi(G)$ ?*

If we replace “ $\mathbb{Z}_2$ -topological invariant” to “ $\mathbb{Z}_2$ -homotopy invariant” in the above, then the following example given by Walker [17] is a counterexample.

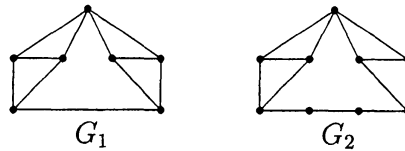


Figure 3.

In fact  $\chi(G_1) = 3$  and  $\chi(G_2) = 4$ , but their Lovász complexes (see (1) in the beginning of Section 3) are  $\mathbb{Z}_2$ -homeomorphic.

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Graduate School of Mathematical Sciences  
 The University of Tokyo  
 Tokyo 153-8914  
 JAPAN  
 E-mail address: `mtst@ms.u-tokyo.ac.jp`

東京大学大学院数理科学研究科 松下 尚弘